IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHUMWAY ET AL.

Group Art Unit:

1712

Serial No.:

10/799,810

Examiner:

FIGUEROA, JOHN J.

Filed:

MARCH 12, 2004

§

Title:

"SURFACTANT-FREE EMULSIONS AND

METHODS OF USE THEREOF"

Atty. Docket No: HES 2003-IP-012703U1

MAIL STOP AMENDMENT **Honorable Commissioner of Patents** P. O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF FILING ELECTRONICALLY VIA EFS **MPEP 503**

I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE IS BEING SUBMITTED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE VIA EFS (ELECTRONICALLY) ON THE DATE INDICATED BELOW, AND IS ADDRESSED TO:

> HONORABLE COMMISSIONER FOR PATENTS P.O. Box 1450 ALEXANDRIA, VA 22313-1450

SEPTEMBER 6, 2006

DATE OF SUBMISSION: **ELECTRONIC FILING (EFS)**

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111 TO NON-FINAL OFFICE ACTION, MAILED JUNE 15, 2006

Dear Honorable Commissioner:

In response to the Office Action mailed on June 15, 2006 (the "Office Action"), Applicants submit the following:

- Amendments to the Claims begin on page 2 of this paper; and
- Remarks/Arguments begin on page 6 of this paper.